

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE
PATENT TRANSMITTAL FORM

Applicant(s): Lu et al.

Serial No.: 10/040,242

Filed: November 9, 2001

For: TOPICAL COMPOSITION HAVING UNDIFFERENTIATED PLANT
SEED CELLS AND METHOD FOR USING SAME

Art Unit: Not yet assigned

Examiner: Not yet assigned

Attorney Docket No.: 680.0043USU



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COMMISSIONER FOR PATENTS
Washington, D.C. 20231

Dear Sir:

Transmitted herewith is:

1. Information Disclosure Statement;
2. Copies of U.S. Patents;
3. PTO Form 1449;
4. Transmittal letter in duplicate; and
5. Postcard.

Please charge any additional fees or credit any such fees, if necessary to Deposit Account No. 01-0467 in the name of Ohlandt, Greeley, Ruggiero & Perle. A duplicate copy of this sheet is attached.

Respectfully submitted,

Date: February 1, 2002

Charles N.J. Ruggiero
Reg. No.: 28,468
Ohlandt, Greeley, Ruggiero & Perle, L.L.P.
One Landmark Square, 10th Floor
Stamford, Connecticut 06901-2682
(203) 327-4500

CERTIFICATE OF MAILING

I HEREBY CERTIFY THAT THIS CORRESPONDENCE IS BEING DEPOSITED WITH THE U.S. POSTAL SERVICE AS FIRST CLASS MAIL IN AN ENVELOPE ADDRESSED TO: COMMISSIONER FOR PATENTS, WASHINGTON, D.C. 20231, ON FEBRUARY 1, 2002.

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For: TOPICAL COMPOSITION HAVING UNDIFFERENTIATED
PLANT SEED CELLS AND METHOD FOR USING SAME
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Attorney Docket No.: 680.004388U

Date: February 1, 2002

INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents
Washington, D.C. 20231

Dear Sir:

In accordance with applicants' duty of disclosure under 37 C.F.R. §1.56, we are enclosing form PTO-1449 listing information that may be material to the patentability of this application.

We are also enclosing a copy of each of the following citations that are listed in form PTO-1449: U.S. Patent Nos. 5,407,816; 5,885,826 and 6,127,181.

It is applicants' belief that none of the above citations describe that which is claimed in the present invention.

It should be understood that attention has been called to the citations that have been deemed to be pertinent to the

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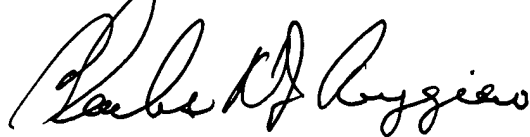
claimed present invention. In concluding what was pertinent, the criteria employed was considered most appropriate in light of the invention shown in the present application. However, the Examiner or others may deem some other criteria to be just as appropriate or more appropriate. Therefore, the Examiner is respectfully urged to review the listed citations and to make the usual careful independent search for other prior art that may be pertinent.

Since this Information Disclosure Statement is being filed within three months of the filing date, no petition or fee is required.

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Applicants respectfully request favorable consideration and that this application be passed to allowance.

Respectfully submitted,

A handwritten signature in cursive script, appearing to read "Charles N.J. Ruggiero".

Charles N.J. Ruggiero

Reg. No. 28,468

Attorney for Applicants

Ohlandt, Greeley, Ruggiero & Perle, L.L.P.

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